TRANSLATION

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508 PCT/EP2004/004082

Summary

SAW filter with improved selection or insulation

5

Between the two acoustic tracks of a SAW filter constructed on the surface of a piezoelectric substrate, a metallic shielding structure is positioned and connected to ground in order to shield two transducers positioned in different tracks. In this way crosstalk within a filter is prevented and the stop-band selection is improved.

10

Diagram 1

Description

## SAW FILTER with improved selection or insulation

5

## Technical Field

A SAW filter consists of includes at least one acoustic track in which at least one electro acoustic transducer is positioned. A transducer of this kind has at least two bus bars, which are normally arranged parallel to the direction of propagation of the acoustic surface wave.

Perpendicular to each bus bar, are electrode fingers, which form an inter-digital electrode structure.

10

15

## **Background**

If several tracks of a filter or various tracks of different filters are positioned on a piezoelectric substrate in close proximity to each other, capacitive interaction may arise between the metal plating that form forms component structures such as transducers and bus bars, and especially between the bus bars positioned perpendicular to the track of two different acoustic tracks. If the two acoustic tracks are interconnected and are associated with the same filter, this normally causes the stop-band selection to change. If electromagnetic coupling arises between the acoustic tracks of two independent filters, then this can lead to deterioration in insulation. The effect of this is that unwanted or foreign signal fragments are received by the input of one or the other filter, which tampers with affects the actual signal and, therefore, should be avoided. In general, such capacitive interaction is referred to as crosstalk.

5

10

15

20

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508 PCT/EP2004/004082

If DMS filters are used, the transducers can be positioned in several interconnected tracks.

Normally, the acoustic tracks are arranged in [[a]] parallel manner for this purpose, and are in close proximity to each other in order to save costly chip surface area. The arrangement may include transducers that are opposite each other and / or bus bars of different tracks that is in close proximity

To each other occur especially With input and output transducers, for example, this can and lead to significant crosstalk, which degrades the filter's selection. This problem arises most frequently when the bus bar of the input or output transducer facing the a neighboring track is connected to a voltage that is different from ground. Particularly pronounced effects also occur with transducers where the electrical connection of the transducer takes place from one side of the acoustic track, on which the corresponding bus bar is then divided into two sub-bars which are connected to the two terminals. The bus bar located opposite is one piece and is not connected to an externally applied voltage, and therefore assumes a floating voltage (intermediate voltage). If such a transducer, e.g., known from DE 100 13 861 A1 and also known as a v-split transducer, is accessed symmetrically (balanced), then the floating bus bar represents a virtual ground. This means that for a symmetrical connection and otherwise optimized symmetrical design of the remaining filter, the floating voltage is exactly on ground. However, if the voltage of this virtual ground deviates from zero, then symmetry disruption exists, which leads to an impairment of the filter function and in particular results in a lower stop-band selection. This type of "drifting" in the

-2-

virtual ground of dual track or multiple track DMS filters of this kind may be caused by electromagnetic coupling.

Summary

5

10

15

It is therefore the purpose of this invention to display a filter where the electromagnetic coupling between two acoustic tracks is suppressed and the stop-band selection of the filter or the insulation of a multiple track filter is therefore improved.

The invention solves this problem by means of a SAW filter with properties in accordance with claim 1. Advantageous designs for the invention can be seen in the additional claims.

The invention suggests This application describes placing a shielding component that is connected to ground between two neighboring acoustic tracks of a SAW filter. In this way, it is possible to shield the transducers positioned in different tracks against each other, which otherwise tend to would cause crosstalk. If the two tracks belong to the same filter and are electrically interconnected, then this method improves the stop-band selection, and as a result, signals within the stop-band are suppressed more effectively. If the transducers that are shielded from each other belong to different filters, then this method improves the insulation between the two filters.

20

The One purpose of the shielding structures is to divert the electromagnetic field lines that cause crosstalk to ground via the metallic shielding structure. A metallic shielding structure in

example, at least one, but preferably two or more ground leads, are provided. The quality of the ground can also be improved by increasing the surface area of the shielding structure. It is also possible to increase the thickness of the metal plating on the shielding structure.

5

10

15

The shielding structure in accordance with the invention displays special provides advantages in a filter in which the transducers to be shielded are designed as v-split transducers with divided bus bars connected to the terminals while on the other hand the other, with continuous bus bars are floating. With a filter of this kind – without the shielding structure in accordance with the invention – increased crosstalk can result, because the distance to the neighboring tracks is reduced due to the a missing connection on the floating bus bar.

The shielding structure in accordance with the invention preferably consists of may include a continuous metal plated surface, which advantageously extends at least along the length of the transducers to be shielded against each other. Because the quality of the ground also increases with the surface area of the shielding structure, the width of the shielding structure perpendicular to the acoustic track is selected as large as possible. For a given distance of the acoustic tracks, the available surface between the tracks is thereby optimally filled with the shielding structure. The distance between the tracks is preferably may be increased additionally compared with an unshielded filter[[,]] in order to make room for a high quality shielding structure. The resulting increased surface

5

10

15

20

area required for the filter, which in effect represents a disadvantage, is compensated by the improved stop-band selection or the improved insulation.

In one form of the invention embodiment, the filter is designed as a DMS filter, which has a first transducer acting as an input transducer and a first coupling transducer in the first track, and, in contrast, a second coupling transducer and a second transducer acting as an output transducer in the second track. In addition, any number of additional transducers acting as input, output, or coupling transducers can be provided in each track. The two acoustic tracks are connected via by means of coupling lines which are connected to one bus bar from the first coupling transducer and one bus bar from the second coupling transducer, respectively. The shielding structure is positioned between the input transducer of the first track and the output transducer of the second track, i.e., between the first and second transducer.

In an advantageous further development of the invention The shielding structure is also <u>may</u> be connected to the bus bar of the <u>a</u> corresponding coupling transducer that is opposite the bus bar that is connected to the coupling line. To be precise, these are the bus bars facing the corresponding opposite track of the coupling transducers. Because, in this arrangement, the coupling line is connected to the outward-facing bus bar of the coupling transducers; it is preferably the coupling line may be routed outside the acoustic track or around the corresponding track, as the case may be.

Because each acoustic track of a DMS filter is preferably may be delimited by two reflectors, the coupling line is may be routed behind the reflectors of each track in a design for the invention.

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508

PCT/EP2004/004082

It is also possible, however, to integrate the reflectors into the coupling line and therefore

transmit the signal through the reflectors. This design is especially space saving, because this means

that the reflectors are used for signal transmission and no additional circuit is required.

5

10

15

In a further design of the invention embodiment, the shielding structure is connected to the

reflectors. This makes it possible to make the ground connection of the shielding structure available

via the reflectors and it is therefore possible to connect the reflectors to a ground separately.

However, it is also possible to connect only the shielding structures to a ground and then connect

the reflectors to the shielding structures. On the other hand, both the reflectors and the shielding

structures can be connected to a ground.

The shielding structure is preferably may be manufactured on the substrate together with

the other metal-plated structures and therefore advantageously has the same construction. If

different metal-plating steps are required for other reasons, then the shielding structure can also have

a combined multiple metal-plated structure and, in particular, may be constructed in such a way that

it is thicker than the metal plating used for the transducers, reflectors, or bus bars. It can therefore be

manufactured in the same step with other metal-plated structures and therefore does not require any

additional expenditure for processing.

20

-6-

5

10

15

20

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508 PCT/EP2004/004082

Suitable metal plating for transducers and therefore potential components for the metal plating for the shielding structure are, for example, aluminum, an alloy containing aluminum, or a multiple-layer composition which contains at least one layer of aluminum or an aluminum alloy. Additional layers for improving adhesion can be provided between the metal plating and the substrate surface. A passivation layer may be provided above the metal plating. A passivation layer of this type can be an additionally applied dielectric layer, for example a thin layer of SiO<sub>2</sub>. However, it is also possible to oxidize the uppermost layer of the metal plating and therefore the uppermost layer of both the transducers and the shielding structures. This can be done, for example by transferring the uppermost layer into the corresponding oxides. Metal plating that includes aluminum should therefore preferably may be covered with a passivation layer of aluminum oxide. This can be accomplished through anodic oxidization or by means of via a suitable plasma treatment of the original metal plating in a plasma containing oxygen.

Furthermore, it is possible to provide additional reinforcement for the bus bars and / or solderable connecting surfaces or under bump metal plating and the shielding structure can also be reinforced in this process.

The connections for the metallic structures of the filter and therefore the connections for the shielding structure, the transducers, and if applicable, other parts of the filter can be implemented using bonding wire. However, a flip chip arrangement is preferred, especially for miniaturized components, whereby the piezoelectric substrate that carries the filter structures is connected to a

-7-

carrying substrate using bump connections so that the component structures face towards the carrying

substrate, and the electrical connecting surfaces that are to be interconnected end up facing each other

directly and can then be connected with bumps.

The invention is explained in greater detail below using following detailed description

shows examples of designs and the corresponding diagrams. The diagrams are for demonstration

purposes and are therefore only schematic and are not drawn to scale. The number of components

shown and the dimensions of the components, especially the electrode fingers, deviate from that of

a real filter.

5

10

15

Description of the Drawings

Diagram 1 shows a 2-track DMS filter with shielding structure in accordance with the

invention.

Diagram 2 shows a 2-track DMS filter where the shielding structure is connected to the

ground terminals of the coupling transducers.

Diagram 3 shows a 2-track DMS filter with unsplit input and output transducer.

Diagram 4 shows a 2-track DMS filter with two transducers for each track and unsplit input

and output transducers.

Diagram 5 shows a 2-track DMS filter with an interconnecting variation.

Diagram 6 shows a 2-track DMS filter with an additional interconnecting option.

20

-8-

Diagram 7 shows the outlet behavior of a filter in accordance with the invention, compared with that of a known filter.

Diagram 8 shows the outlet behavior of a further improved filter in accordance with the invention, compared with that of a known filter.

5

10

15

## **Detailed Description**

Diagram 1 shows an initial simple design for the invention implemented in a 2-track 3-transducer DMS filter. In the first track (shown in the diagram below), a first transducer W1 is positioned, connected to the input IN via two terminals and therefore serving as an input transducer. A first coupling transducer K1 and an additional coupling transducer K1' are positioned at both sides of the first transducer W1.

The second acoustic track eonsists of includes a second transducer W2, which is connected to the output (OUT) and represents the output transducer. On both sides, the second transducer W2 in the second track is adjoined by a second coupling transducer K2, K2', respectively. The first and second coupling transducers K1, K2; K1', K2' are connected to each other by means of via coupling lines KL, KL'. Each track is bordered on both sides by one reflector R1, R1', R2, R2', respectively. Input and output transducers (first and second transducer) W1, W2 are designed as v-split transducers here and are operated symmetrically (balanced). However, it is also possible to operate one or both of the first and second transducers asymmetrically and to place one of the terminals on zero or reference voltage (ground) for that purpose. Between the two tracks, a shielding structure

AS is designed as a flat piece of metal plating. Here the shielding structure AS extends at least along the distance determined parallel to the acoustic track between the first and second transducers which are to be shielded against each other. The width of the shielding structure determined perpendicular to the acoustic track is much higher than that of the bus bars of the transducers and is optimized for the distance between the two tracks, that is, it optimally fills this distance. The shielding structure is connected to ground. As a further design in this diagram, that bus bar of each coupling transducer K1, K2 which is not connected to a coupling line KL, together with the directly neighboring reflector R, is connected to a ground.

10

5

Diagram 2 shows an additional design of the invention, whereby in which the coupling lines KL, KL' are connected to those bus bars of the coupling transducers K which face away from the corresponding opposite track, that is to say, are the furthest away from the corresponding opposite track.

15

In this way, the shielding structure positioned between the tracks borders only such bus bars or structures that are actually or virtually connected to ground. In addition, the bus bars of the coupling transducers K which are to be connected to ground are connected to the shielding structure AS. The first and second coupling transducers K1 and K2 are connected via a coupling line KL, which is routed around the reflectors R1, R2. The same applies to the coupling line KL', which is routed around the reflectors R1', R2'. In this design, the reflectors are connected to the

corresponding neighboring coupling lines. However, it is also possible to connect the reflectors to

ground or design them as floating.

Diagram 3 shows an additional design. of the invention, where In contrast to the design in

accordance with diagram 2, the first transducer W1 and second transducer W2 are designed as

normal transducers with continuous bus bars on both sides of the acoustic track. [[, whereby]] The

terminals of the first and second transducer are provided on the two bus bars on both sides of the

acoustic track. In this design, the input and output transducers W1, W2 are designed as symmetrical

transducers for balanced operation. The remaining design of the filter is unchanged compared with

the design in accordance with diagram 2. In this design the shielding structure AS does not divide a

floating bus bar in each of the two transducers W1 and W2, but rather the inner symmetrical

terminals of the first and second transducers W1, W2.

Diagram 4 shows a 2-track DMS transducer where each track has two transducers, a first

transducer and a first coupling transducer in the first and a second transducer and a second coupling

transducer in the second track. The first and second transducers have their terminals on both sides

of the transducer. The coupling transducers K1, K2 are connected via a coupling line KL, which is

connected to the outward facing bus bar of the coupling transducers, respectively, and is routed

around the two directly neighboring reflectors.

20

5

10

15

-11-

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508

PCT/EP2004/004082

The inner bus bars of the coupling transducers K1, K2 are connected to the shielding structure, as well as the reflectors R1', R2' positioned directly beside the first and second transducers

W1, W2.

5 Diagram 5 shows an additional variation on the design shown in diagram 4. In this design

the coupling line is routed directly through the two directly neighboring reflectors R1, R2.

Compared with the design in diagram 4, this saves a conductor section of the coupling line, the

function of which is hereby performed by the two reflectors.

Diagram 6 shows an additional variation on the design shown in diagram 4, whereby the

coupling line is still routed around the two reflectors R1, R2. However, in contrast to diagram 4 the

reflectors R1, R2 here are not connected to the coupling line KL, but are connected to the shielding

structure AS. For this purpose the shielding structure is extended and separates all transducers and

reflectors of the two tracks from each other.

15

20

10

In diagram 7, the frequency response of a filter in accordance with the designs described

herein invention is shown and contrasted with the frequency response of a known filter without a

shielding structure. The frequency response A of a 3-transducer 2-track DMS filter that is designed

in accordance with diagram 1 is compared with the frequency response B of a corresponding filter

without shielding structure AS. It turns out that the filter (measured by the transmission function

-12-

S21) exhibits an improved stop-band selection; see for example the difference between the two transmission functions at the locations of the stop-band marked with arrows.

Diagram 8 shows, by means of a <u>in</u> comparison, the frequency response C of a filter in accordance with the invention designed in accordance with diagram 2, which is contrasted here with the frequency response D of a corresponding filter without a shielding structure. It emerges <u>follows</u> that with an enlarged shielding structure compared with a filter in accordance with diagram 1 and with coupling lines routed on the outside an additional improvement of the stop-band selection can be achieved, while the pass-band, being the transmission range of the filter, remains largely unchanged. In particular, the input attenuation and the bandwidth remain nearly the same.

Although the invention was illustrated using only a few examples of design, it The invention is not limited to the embodiments described herein, including DMS filters these examples. Additional possibilities for variation that lie within the framework of the invention arise from include variations of the structure, especially the number of transducers per track. In addition, the invention is not restricted to DMS filters. The shielding of transducers in the tracks of a reactance filter is also possible. Additional variations arise from connecting the transducers and coupling transducers, as well as omitting the connections, so that the invention is realized embodiments may be constructed on the basis of using two tracks that are electrically insulated against each other.

20

5

10

5

10

15

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508

PCT/EP2004/004082

Instead of the normal finger transducers shown in the design examples, the transducers can also be designed as split-finger transducers, weighted transducers, distributed transducers, and especially as SPUDT transducers. In addition, the distances and / or the widths of the electrode fingers along an axis perpendicular to the propagation direction of the surface wave can change, so that the corresponding transducer is designed as a fan transducer. Also, filters in accordance with the invention described herein can have transducers with electrode finger distances and / or widths that change in the propagation direction.

A filter in accordance with the invention designs described herein can also comprise a first and second track, which is respectively are embodied in one of the filters of a double so-called 2-in-1 filter encased in one housing. The two tracks can also be assigned to the two sub-filters of a duplexer, so that one track is assigned to an RX filter and the other track is assigned to a TX filter. In the case of a reactance filter, the two acoustic tracks or the resonators, as the case may be, are shielded against each other, whereby and the resonators from different branches are preferably shielded against each other by means of a shielding structure. For example, in accordance with the invention a resonator in the serial branch can be shielded against a directly neighboring resonator in the next acoustic track in the parallel branch. In this way, improved insulation is maintained, which in this case can be noticeable in the filter as a whole through improved stop-band selection.

-14-

Patent claims What is claimed is:

1. SAW Filter,

5

10

15

constructed on the surface of a piezoelectric substrate

containing two electrically interconnected acoustic tracks positioned neighboring each other, in which electro acoustic transducers (W1, W2) acting as input transducer and output transducer respectively are positioned,

where a metallic shielding structure (AS) that is connected to ground is positioned between the two tracks, which shields at least two transducers positioned in different tracks against each other.

2. SAW filter in accordance with claim 1,

where the two transducers (W1, W2) shielded against each other each have one bus bar facing the shielding structure (AS), and where at least one of these bus bars is floating or connected to a voltage that is different from ground.

- 3. SAW filter in accordance with claim 1 or 2,
- designed as DMS filter
- with a first transducer serving as input transducer (W1) and a first coupling transducer (K1)
- in the first track

- with a second coupling transducer (K2) and second transducer serving as output transducer
   (W2) in the second track
- with a coupling line electrically connecting one bus bar of the first and second coupling transducers, respectively
- where the shielding structure (AS) is positioned between the first and the second transducer.
- 4. SAW filter in accordance with claim 3, where the coupling line (KL) in each track is connected to that bus bar of the corresponding coupling transducer (K1, K2), respectively, which is farther away from the other track.
  - 5. SAW filter in accordance with claim 4, where the acoustic tracks are bordered by two reflectors (R, R'), respectively, where the coupling line (KL) is routed around the reflectors outside the acoustic tracks.
  - 6. SAW filter in accordance with one of the claims 3 to 5,

where the shielding structure (AS) is connected to an external ground and to the bus bar of one of the coupling transducers (K1, K2) respectively, which is not connected to the coupling line (KL).

7. SAW filter in accordance with one of the claims 1 to 6,

5

10

where the acoustic tracks are bordered by two reflectors (R) respectively,

Where the shielding structure (AS) is connected to an external ground and to the reflectors.

& SAW filter in accordance with one of the claims 3 to 7,

where in the first and the second transducer (W1, W2) the bus bar that is further away from the neighboring track in each case is divided into two sub-bars, which means that each sub-bar of the first transducer (W1) is connected to one of the external terminals of the input (IN) and each sub-bar of the second transducer (W2) is connected to one of the external terminals of the output (OUT), and where the first and second transducers (W1, W2) serving as input transducer and output

transducer are assigned to a corresponding symmetrical input or output (IN, OUT).

- 9. SAW filter in accordance with one of the claims 1 to 8, where the transducers (W1, W2) and shielding structure (AS) are made up of the same metal plating.
- 10. SAW filter in accordance with one of the claims 1 to 9, where the metal plating of the transducers (W1, W2) and the shielding structure (AS) have a layer of aluminum or an alloy containing aluminum, or a multiple-layer composition which contains at least one layer of aluminum or of an aluminum alloy.

20

5

10

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508

PCT/EP2004/004082

11. SAW filter in accordance with one of the claims 1 to 10, where the substrate is mounted

on a carrier in a flip-chip arrangement, and where an electricity conducting connection is performed

between a connecting surface positioned on the carrier and the shielding structure (AS) with one or

more bumps.

5

12. SAW filter in accordance with one of the claims 1 to 11, where the shielding structure

(AS) extends at least along the entire length of the two transducers (W1, W2) to be shielded against

each other.

10

13. SAW filter in accordance with one of the claims 1 to 12, where the shielding structure

(AS) has a width which is noticeably larger than the bus bars of the first and second transducer (W1,

W2) that face each other.

14219-109US1 / P2003,0316 US N U.S. Application No. 10/559,508 PCT/EP2004/004082

Summary Abstract

SAW filter with improved selection or insulation

5

Between the two acoustic tracks of a SAW filter constructed on the surface of a piezoelectric substrate, a metallic shielding structure is positioned and connected to ground in order to shield two transducers positioned in different tracks. In this way crosstalk within a filter is prevented and the stop-band selection is improved.

10

Diagram 1